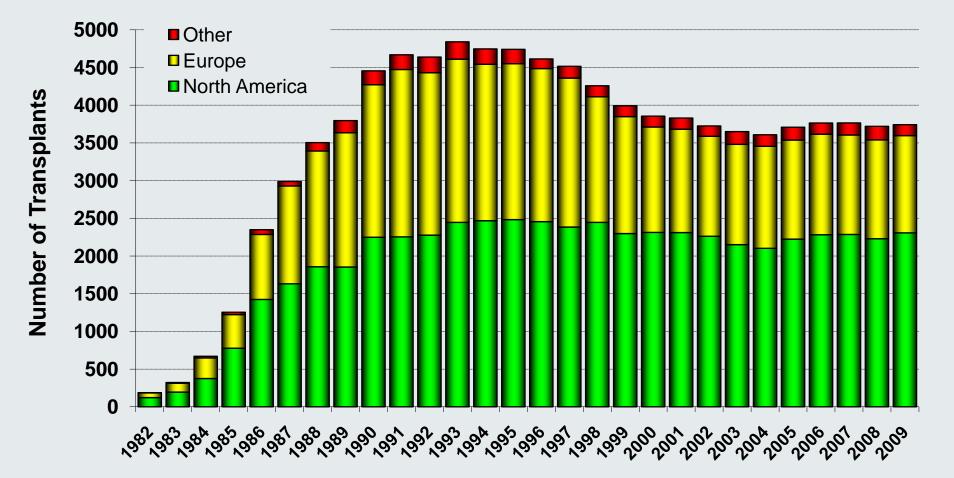
Mechanical Assist Devices: From Bridge to transplant to destination therapy



UniversitätsSpital Zürich Nothing to disclose



#### NUMBER OF HEART TRANSPLANTS REPORTED BY YEAR



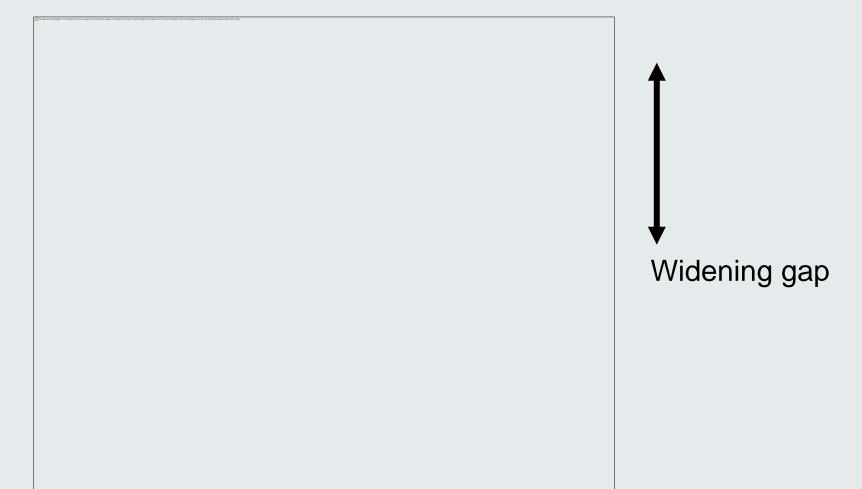


Zürich

**ISHLT 2011** 

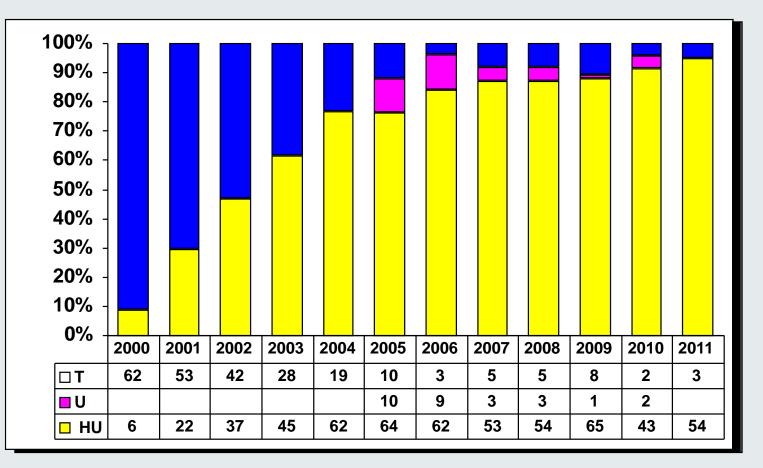
J Heart Lung Transplant. 2011 Oct; 30 (10): 1071-1132

# Less organs – more listings result in growing waiting lists for HTx





### High Urgency Transplantation exceeds elective Transplantation



Courtesy J. Gummert, Bad Oyenhausen



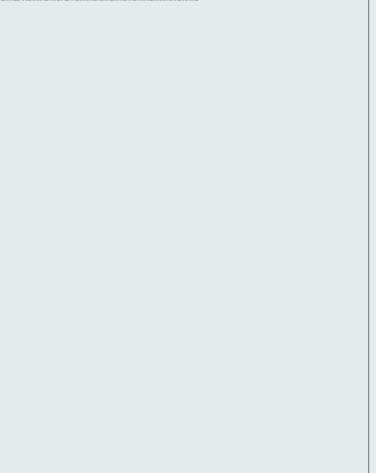
#### HTx issues – MCS a solution?

- Organ shortage
- In some countries already 90% of transplants are HU
  - worse outcomes, no hearts for elective patients
- Short-term support for BTT no realistic option for many patients
- BTT effectively means DT in many cases
- MCS an alternative to HTx?



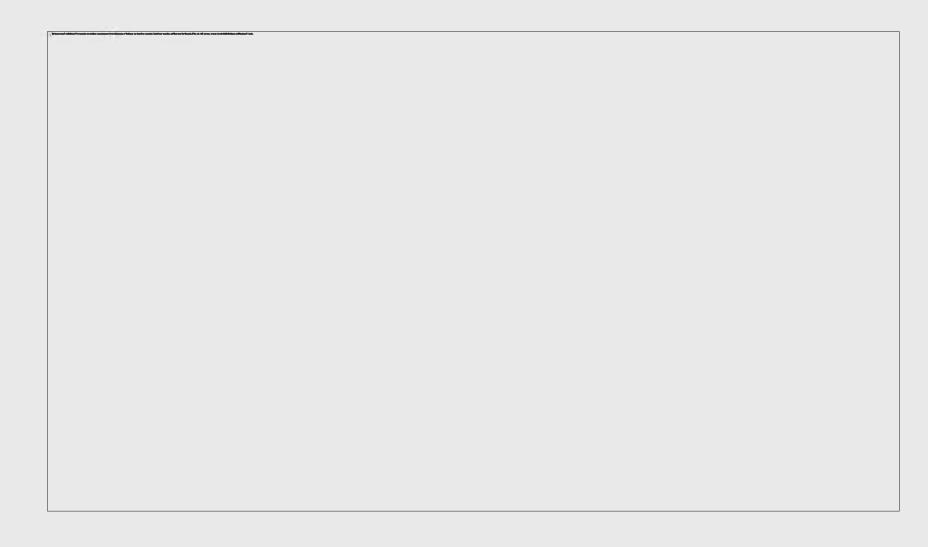
## First generation pulsatile devices



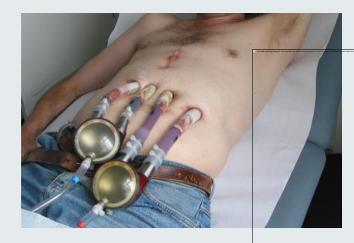




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#### Züricher Patienten mit Berlin Heart

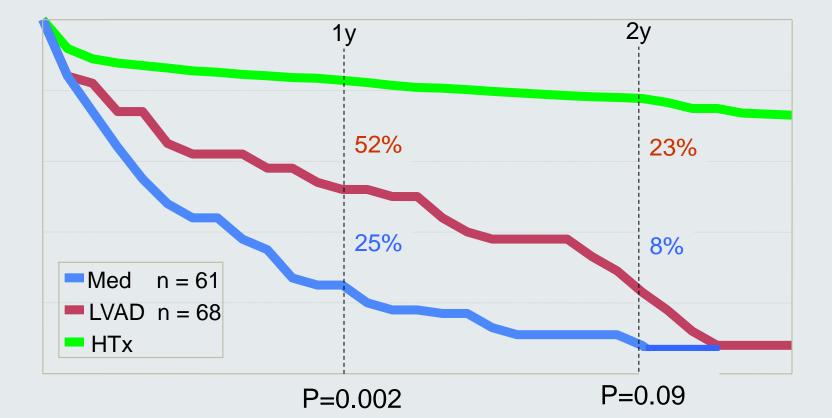




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## **REMATCH-Trial (Heartmate I)**

129 pts. randomized to LVAD (68) or medical therapy (61) 1998 – 2001; all pts. NYHA 4 non eligible for HTx





Rose NEJM 2001

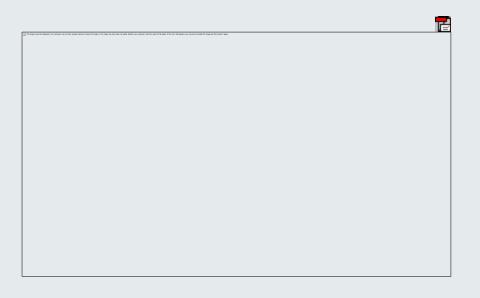
### REMATCH Complications (n/Patient - year)

	Contr.	LVAD	Rate Ratio
all	2.75	6.45	2.35
Bleeding	0.06	0.56	9.47
Neurologic dysfunction	0.09	0.39	4.35
Supraventricular Arrhythmia	0.03	0.12	3.92
Peripheral emboli	0.06	0.14	2.29
Sepsis	0.30	0.60	2.03
Local infection	0.24	0.39	1.63
Cardiac arrest	0.18	0.12	0.65
Myocardial infarct	0.03	0.02	0.65
Ventricular arrhythmia	0.56	0.25	0.45



### Complications

- Mechanical wear
- Valve dysfunction
- Thrombembolic complications





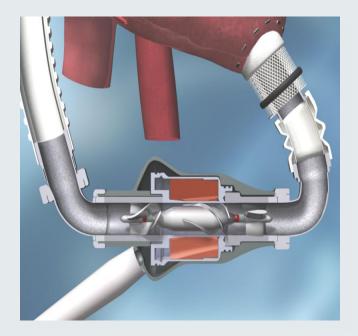




## Non pulsatile Devices

#### **Advantages**

- No valves
- No membranes
- Smaller housing
- Less moving parts
- Ease of implant



#### Disadvantages

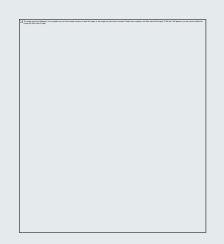
- Non pulsatile ?
- AI in pump failure
- Afterload dependent

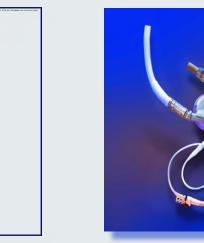


## Improved patient comfort

- Less Trauma
- Less noise
- Smaller
- Longer battery charge

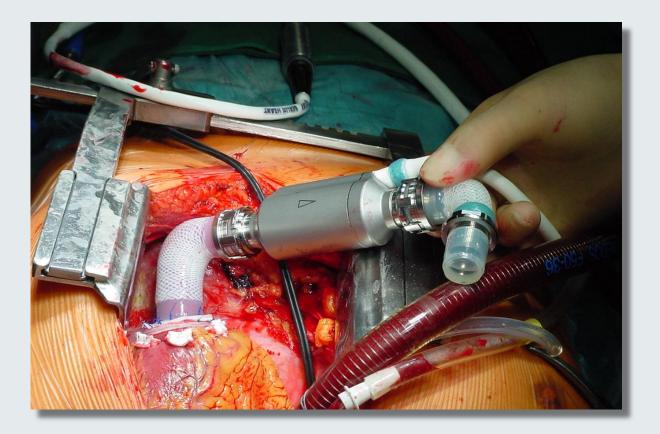








## **Inflow- Canula and LVAD**





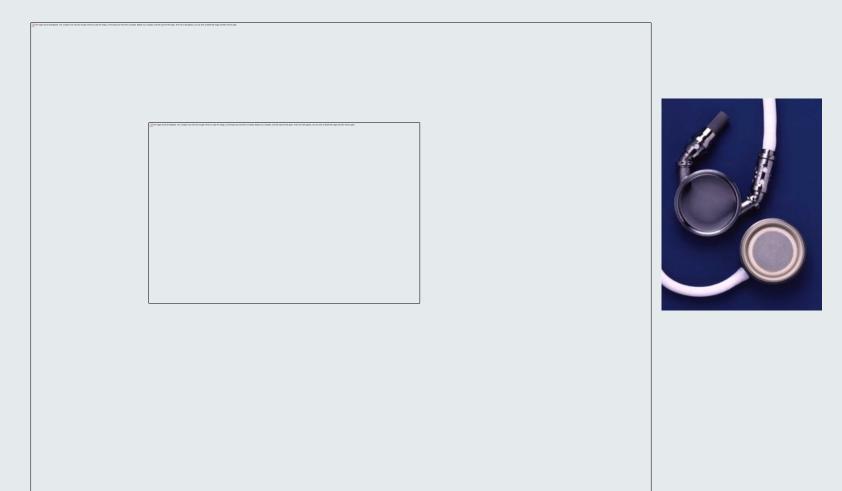
UniversitätsSpital

## Implanted LVAD





#### Heartmate II vs. Heartmate I – Adverse Events (US – Multicenter trial – Chronic Implant)

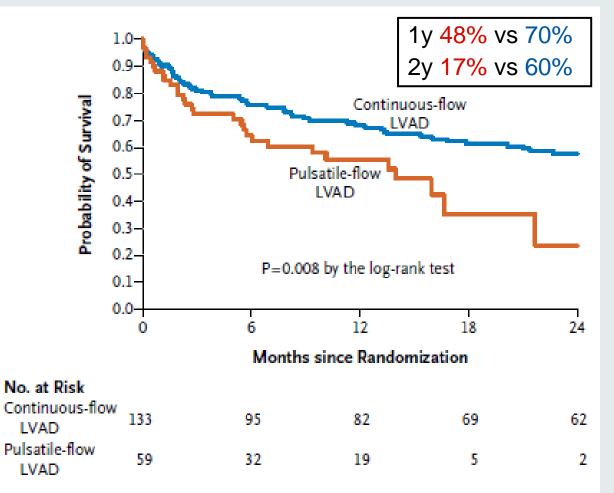




UniversitätsSpital Zürich Slaughter NEJM 2010

### Heartmate II vs. Heartmate I - Survival

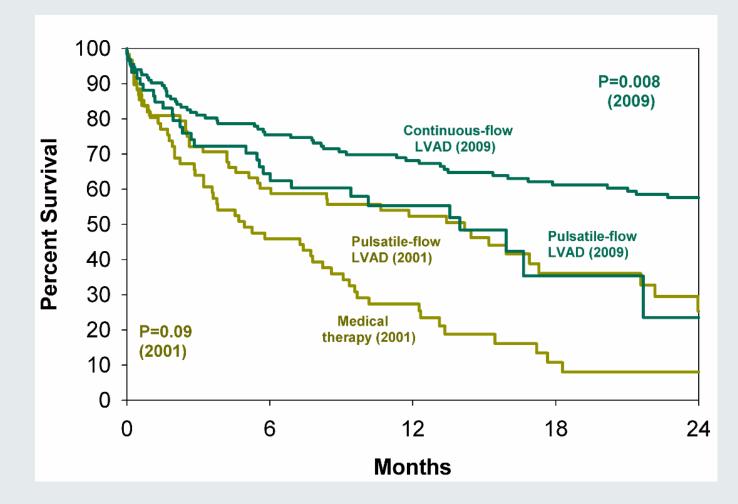
(US – Multicenter trial – Chronic Implant)





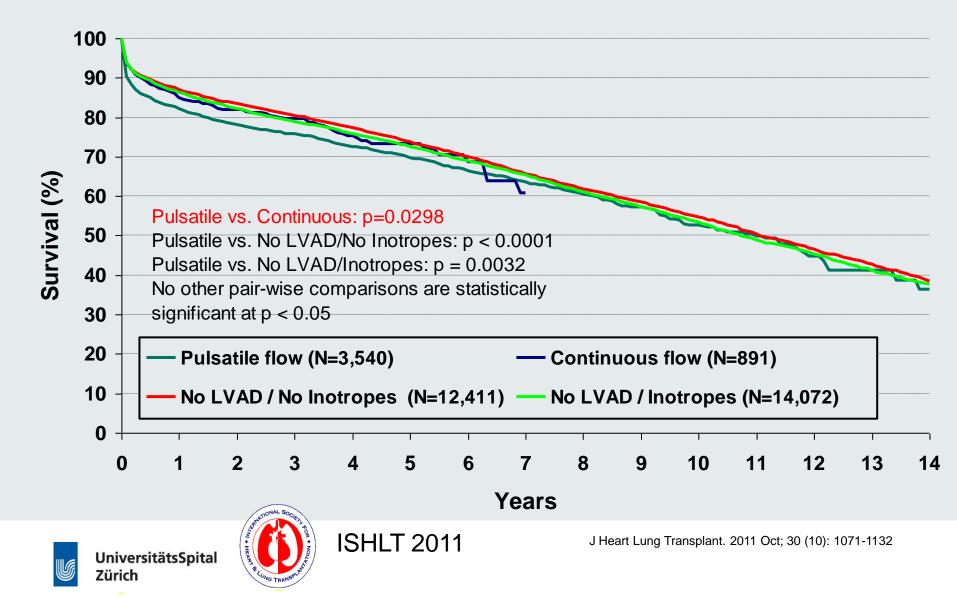
Slaughter NEJM 2010

#### Improving Survival in DT trials





#### ADULT HEART TRANSPLANTATION Kaplan-Meier Survival by VAD usage (Transplants: 4/1994-6/2009)

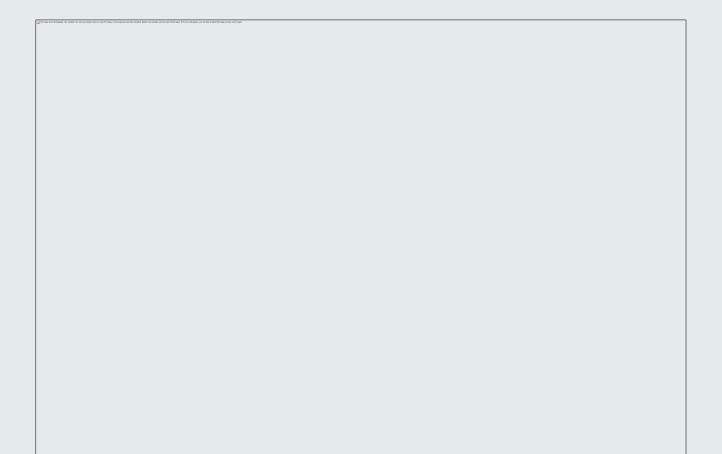


#### Rapid growth in LVAD therapy



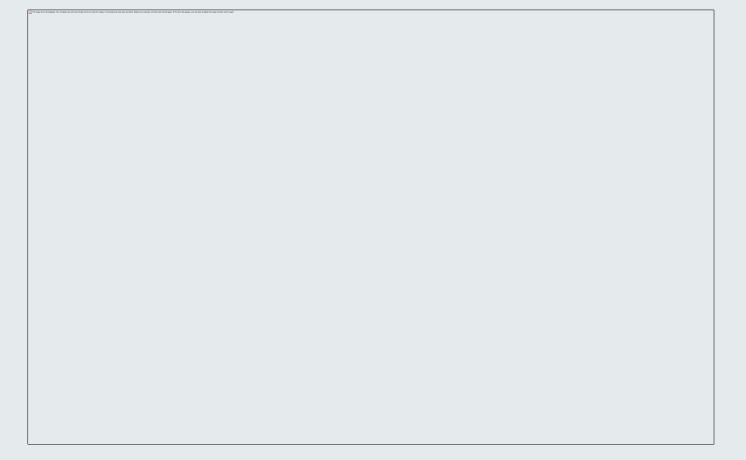
Kirklin JK 4th Intermacs report JHLT 2012

#### The rise of continuous flow pumps



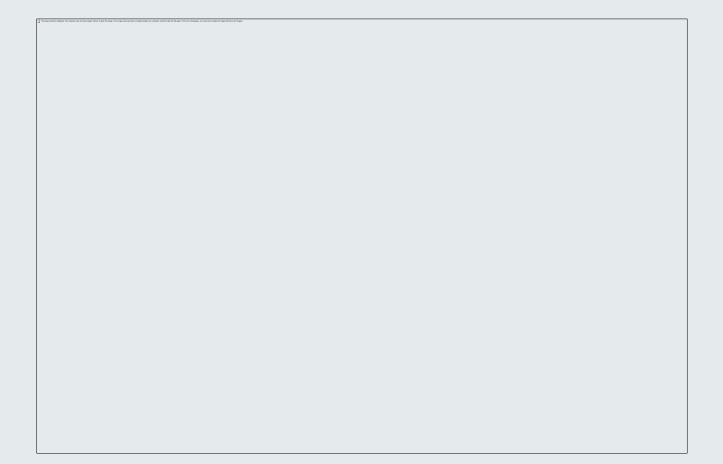


#### Increase of LVAD vs BVAD support



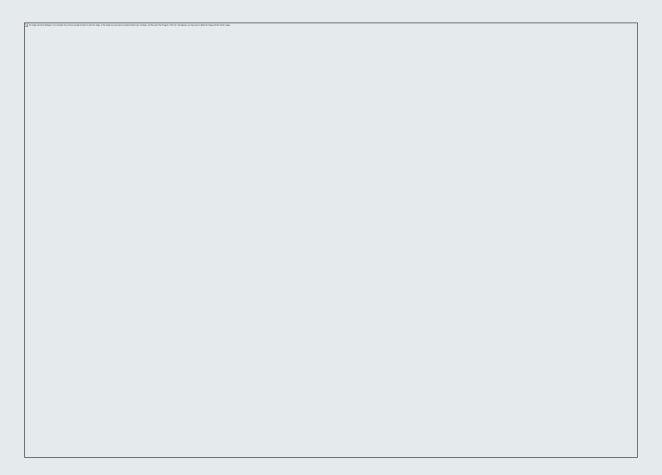


#### Improved Survival by Implantation period



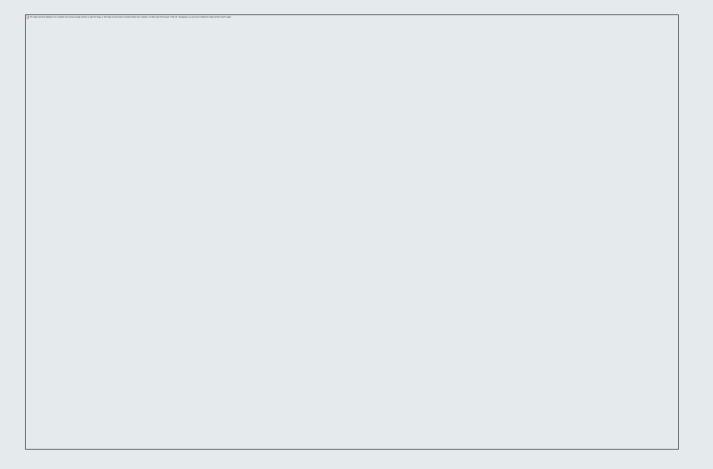


#### Improved Survival with Continuous Flow Pumps



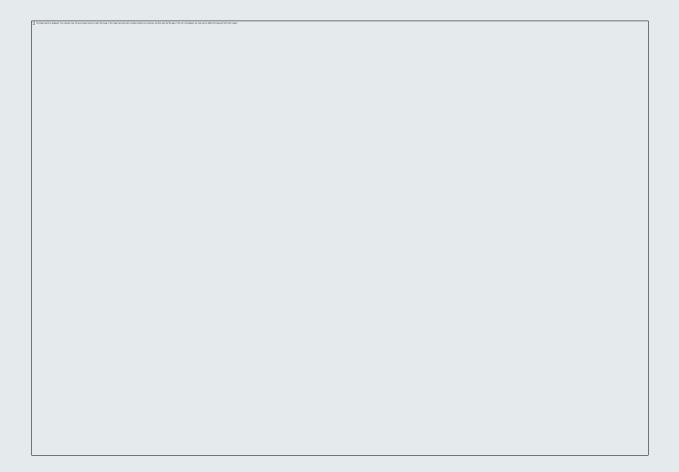


#### Bridge to Candidacy with CF Devices





#### **Destination Therapy with CF Devices**





#### Outcomes of DT according to preoperative risk INTERMACS Data 6/2006-3/2011 from 106 US institutions

#### Identification of risk factors for increased mortality (p<0.05)

- Older age
- Larger BMI
- Diabetes
- History of CABG
- INTERMACS level I / cardiogenic shock
- Lower sodium
- Increased bilirubin
- Use of pulsatile flow devices

	continuous	pulsatile	overall
1 year survival	79%	61%	75%
2 year survival	78%	35%	51%



### DT competitive with HTx in current era

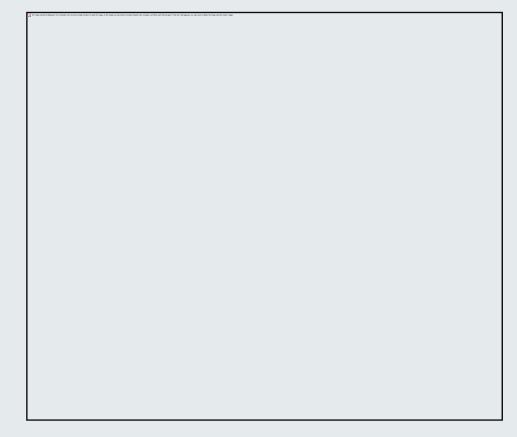
- DT accounts for 33% of implants in recent years
- Risk factors play a major role for survival outcome (DT therapy not appropriate for rapidly deterioating patients or patients in shock)
- Mechanical circulatory provides competitive survival to heart transplantation in selected subsets:

Continuous flow LVAD / no diabetes / no cardiogenic shock / BUN <50

1 & 2 year survival with LVAD 85% comparable to 1 year survival after HTx 85-87%



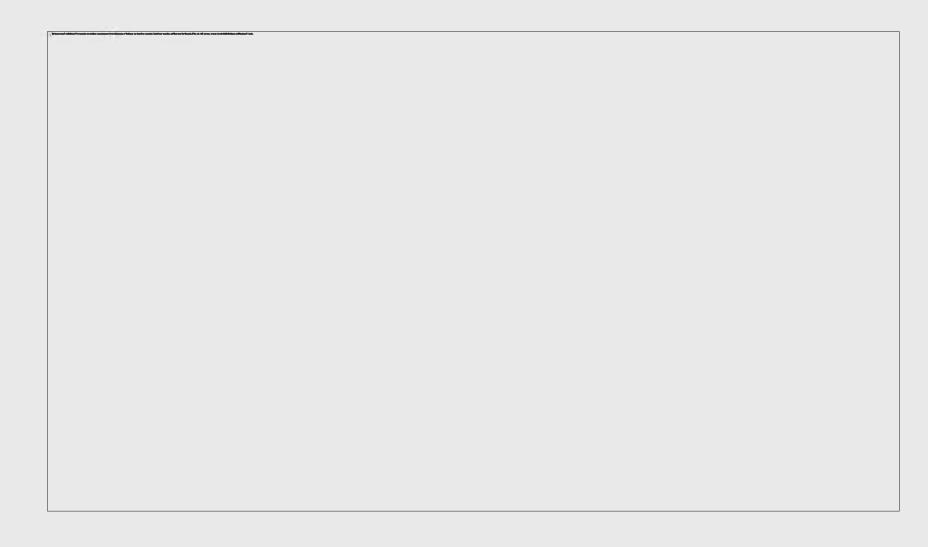
### Second generation non pulsatile devices





- Simplified Implantation Technique
- Electromagnetic bearing
- Less blood trauma

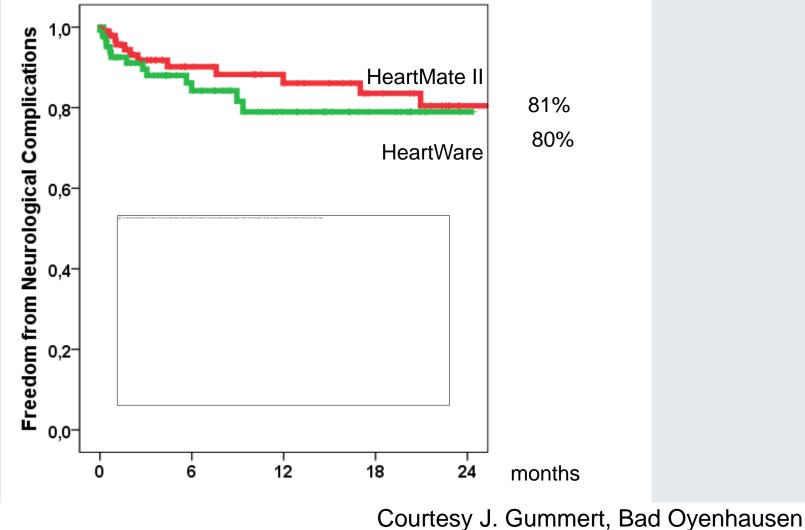






#### Freedom from Stroke or (Bleeding or embolic)

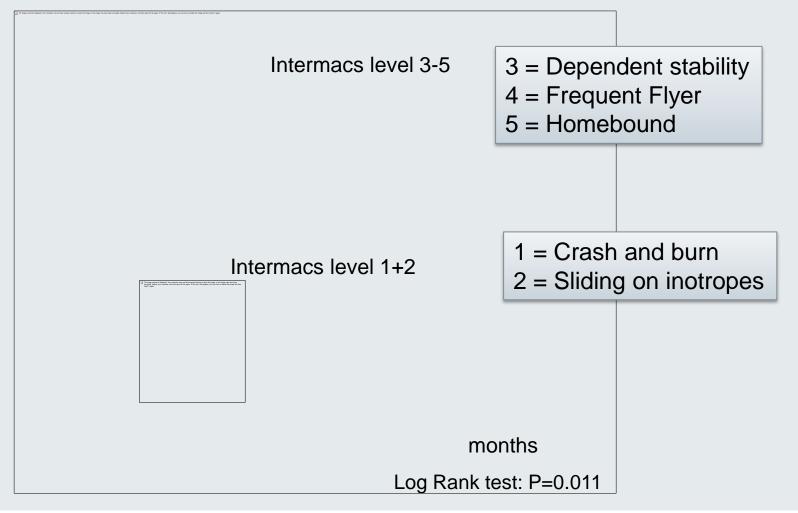
Implantationen Bad Oyenhausen: 9/2006 – 8/2011; n = 187



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### Survival and preoperative Intermacs-Level

Heartware – Implantationen Bad Oyenhausen: Aug. 2009 – Aug. 2011; n = 88



Courtesy J. Gummert, Bad Oyenhausen



## New pumps / HeartMate

#### **Project Objectives**

- Develop a full-support, blood pump with full magnetic rotor levitation and wide gaps for optimized blood flow
  - Reduced adverse event profile
- Incorporate textured surfaces
  - Potential for reduced or no anticoagulation
- Capable of producing an artificial pulse
  - Physiologic blood flow with potential to help address late bleeding
- Operate at **lower power consumption**, allowing miniaturization of external components



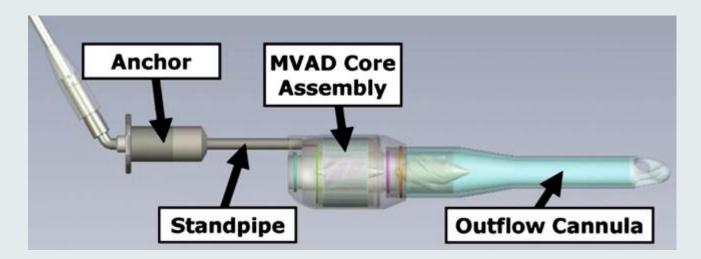
## Miniaturized VAD Design / MVAD

#### **Project Objectives**

- Three MVADS designs all showing strong results in preclinical studies.
- Wide bladed, axial flow technology allows significant **miniaturization**.
- Partial or full support attainable in all designs.
- All versions can eliminate full sternotomy.
- Wear-less impeller suspension.
- Versatile, configurable and scalable.



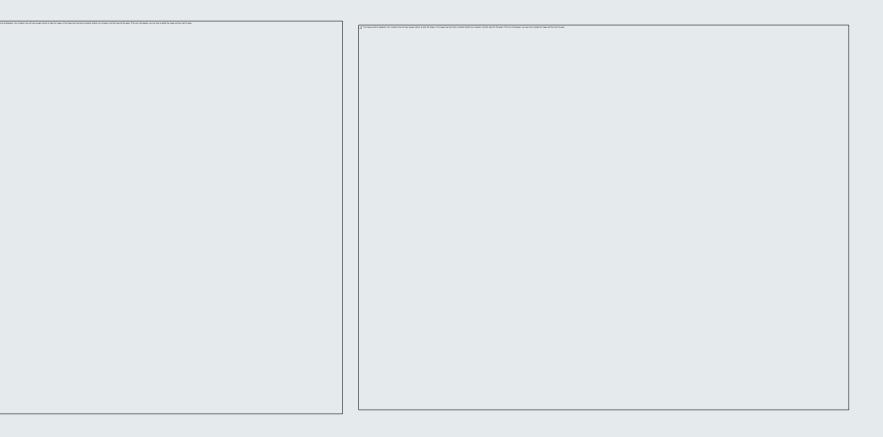
## Miniaturized ventricular assist device (MVAD)



- Continuous axial flow pump
- Transapical implantation, Transaortic outflow
- 10 animals: 100% successful implantation, 100% normal end-organ perfusion, no significant hemolysis, no pump failures, no device-related complications



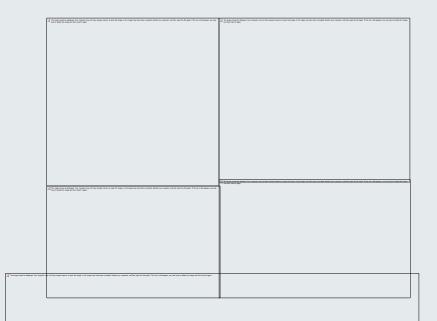
### Miniaturized ventricular assist device (MVAD)





Slaughter MS JTCVS 2011

# Infection Reduction Technology

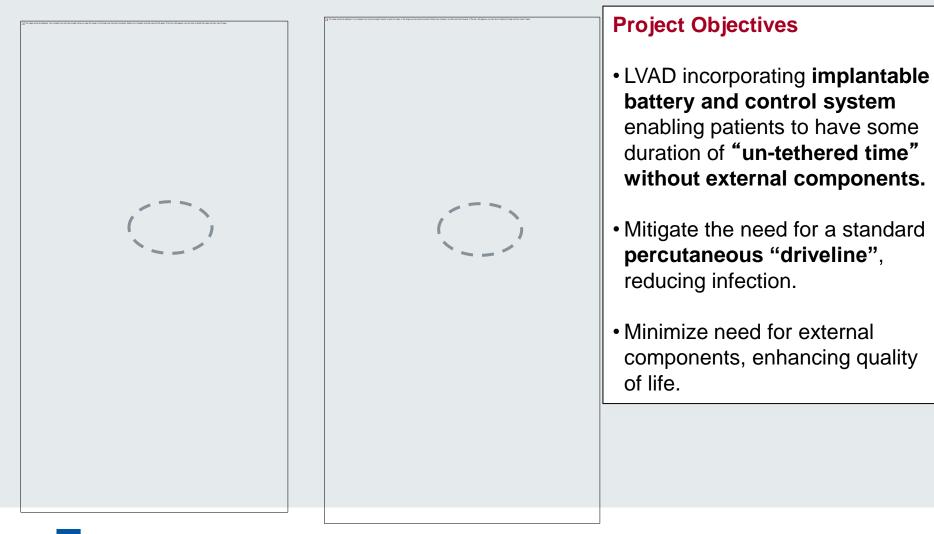


#### Project Objectives

- Develop stabilization and exit site improvement technologies to significantly reduce percutaneous lead (driveline) infection.
- Pursuing device-based internal mechanical stability anchoring technologies
  - Focus on trauma-induced lateonset infection
- Advanced exit site material morphology and chemistry for improved tissue / percutaneous lead interface



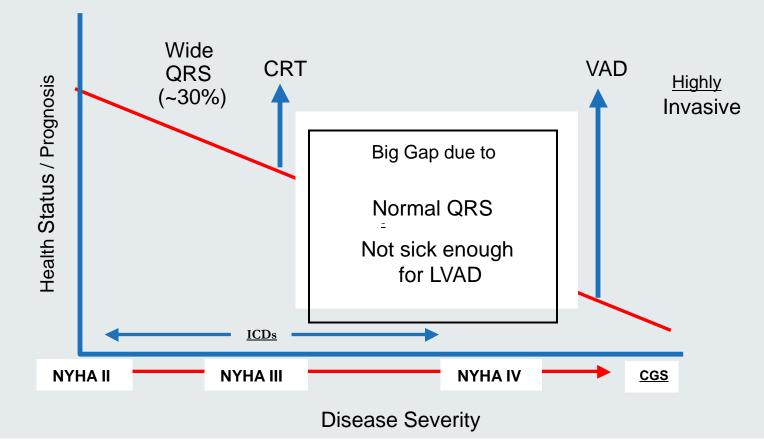
# Fully-Implantable LVAS (FILVAS)





### Partial ventricular support New philosophy and indications

Current therapy limitations





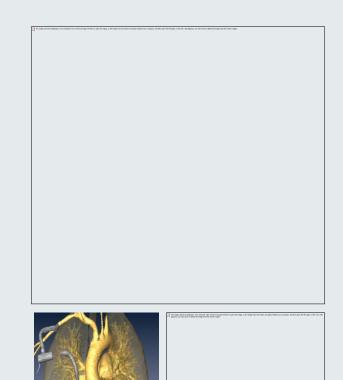
## Partial ventricular support (CircuLite)

	CircuLite	Current VADs	
Patient	Class IIIb and early Class IV	<ul> <li>Late Class IV and Shock</li> </ul>	
	Cardiac Output: 2-3L/minute	• Cardiac Output: 1-2L/minute	
	<ul> <li>Ambulatory, home-bound (INTERMACS Level &gt;4)</li> </ul>	<ul> <li>Hospitalized, bed-bound</li> </ul>	
Design	<ul> <li>Partial Support, 2-3L/minute</li> <li>Supplements native function</li> </ul>		
Procedure	<ul> <li>Limited Access procedure</li> <li>Off-pump mini-thoracotomy</li> </ul>	<ul><li>Urgent, open heart procedure</li><li>Sternotomy and bypass</li></ul>	



### CircuLite – Clinical experience

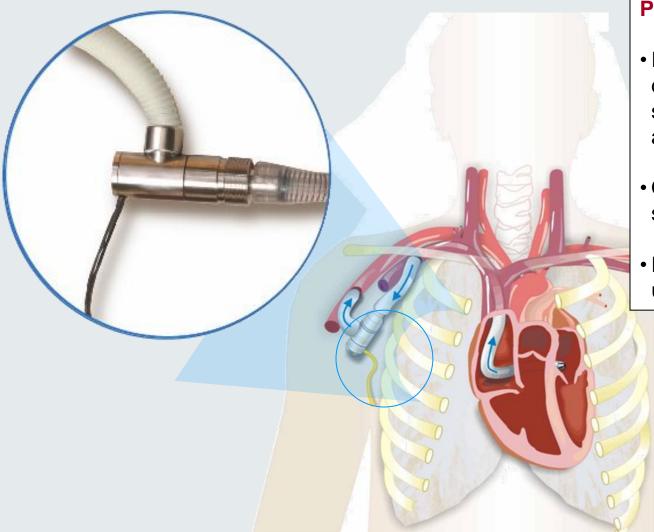
- 27 pts. awaiting HTx (EF  $21\pm6\%$ )
- Duration of support 6 to 281 days
- significant hemodynamic improvement:
  - increase in CI from  $2.0 \pm 0.4$  to  $2.8 \pm 0.6$  I min<sup>-1</sup> m<sup>-2</sup> (p < 0.001)
  - reduction in PCWP from  $28\pm6$  to  $18\pm7$  mm Hg (p = 0.002)





Meyns BP EJCTS 2011

## **Endovascular VAD Implantation**

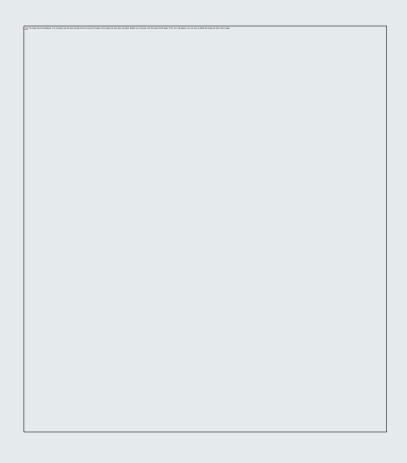


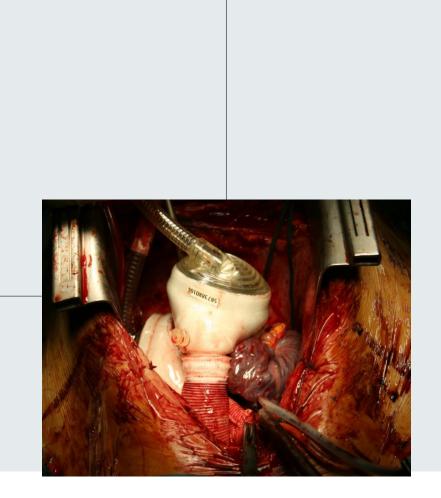
#### **Project Objectives**

- Inflow cannula transeptally deployed in left atrium, via the subclavian vein and right atrium.
- Outflow graft attached to the subclavian artery.
- Pre-clinical evaluation underway.



### Total Artificial Heart – Syncardia Cardiowest







### Bridge to Transplant results

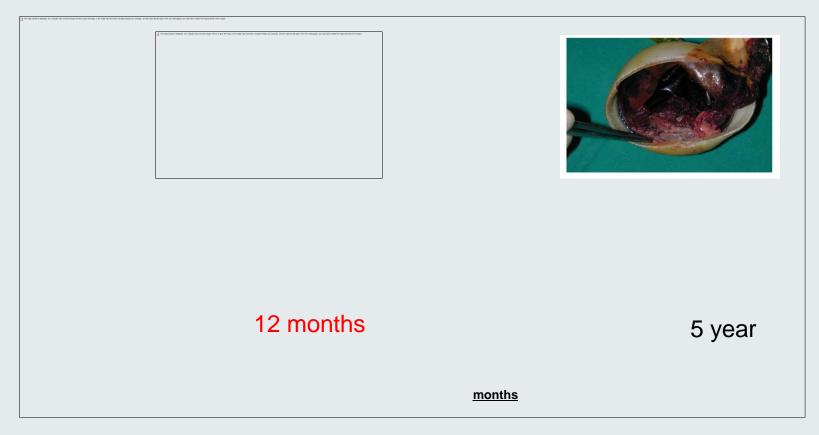
Non randomised US five center trial with historical controls

Copeland - NEJM 2004



### CardioWest: Survival rate

#### Bad Oyenhausen 2/2001 - 8/ 2011; n = 150



#### Worldwide: 1020 pts (as of 12/13/2011)



UniversitätsSpital Zürich Courtesy J. Gummert, Bad Oyenhausen

# **TAH: Long-Term Experience**

Patient	Duration				
Time (Yrs)	US	OUS	Total		82.4% survival
>.5	37	101	138		transplanted
> 1	8	44	52		2 Sympositive shares in the same and was stard from the set of a stard of the same and the sa
>1.5	2	23	25		
>2	1	8	9		
>2.5	0	3	3		
>3	0	1	1		
>3.5	0	1	1		



## ESC GL HF 2012: Indications for MCS

- Pts. Eligible for LVAD or BiVAD implantation: precipitating cause
  - Upgrade of LVAD indication for destination therapy

Patients with >2 months of severe symptoms despite optimal medical and device therapy and more than one of the following:

- LVEF <25% and, if measured, peak VO<sub>2</sub> < 12 mL/kg/min
- $\geq$ 3 HF hospitalizations in previous 12 months without an obvious
- Dependence on i.v. inotropic therapy
- Progressive end-organ dysfunction (worsening renal and/or hepatic function) due to reduced perfusion and not to inadequate ventricular filling pressure (PCWP ≥20 mm Hg and SBP  $\leq$ 80–90 mmHg or Cl  $\leq$ 2 L/min/m<sup>2</sup>)
- Deteriorating right ventricular function



# Conclusions

- Destination Therapy is an established therapy (>30% of implants)
- Results match HTx in selected subsets
- Organ shortage and growing heart failure population will increase need for LVADs
- Earlier implantation in pts. without end-organ failure yields better results
- Partial assist/smaller devices upcoming



Telepanet industry in second s	aylan hannya ki anya na anya na kanya kanya na kanya na kanya kanya kanya kanya kanya na kanya na kanya na kanya



#### ENDURANCE is a randomized, controlled, unblinded, multi-center clinical trial to evaluate the use of the HeartWare Destination Therapy The non-inferiority study 450 patients with end-stage heart failure

ineligible for cardiac transplantation.

Patients randomized to LVAD HeartWare LVAD against control group of any alternative LVAD approved by the FDA for DT in a 2:1 ratio.

Primary endpoint at two years, with a subsequent follow-up period extending to five years post implant.



- Secondary endpoint of survival was 94% at six months; 91% projected survival at one-year for investigational device -

- Conference call today at 6:30 p.m. U.S. Central Time -

FRAMINGHAM, Mass. and SYDNEY, Nov. 14, 2010 /PRNewswire-FirstCall/ -- HeartWare International, Inc. (Nasdaq: <u>HTWR</u>) (ASX: HIN), a leading innovator of less invasive, miniaturized circulatory support technologies that are revolutionizing the treatment of advanced heart failure, today announced that data from its pivotal bridge to heart transplantation (BTT) study, ADVANCE, showed that 92% of the investigational device patients met the per protocol primary endpoint UniversitätsSpital